

In Place of FORM PTO-1449 (Modified)

Serial No.: 10/032,932  
 Applicants: Richard E. Smalley et al.  
 Filing Date: December 28, 2001  
 Group: 1754  
 Atty. Docket No.: 11321-P012USD6

**LIST OF PATENTS AND PUBLICATIONS FOR  
 APPLICANTS' INFORMATION DISCLOSURE  
 STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
PL ABA	EP 1 176 234 A2	12/05/1993	European			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
PL AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA						
ACA						

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ADA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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PL AEA

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1/29/04

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